



Rogers Wealth Group

Privacy Policy

March, 2025

Clients of RWG have access to a broad range of products and services. To deliver these products and services as effectively and conveniently as possible, it is essential that we use technology to manage and maintain certain client information.

We want to assure all of our clients that whenever information is used, it is done with discretion. The safeguarding of client information is an issue we take seriously. To affirm our continuing commitment to the proper use of client information, we have set forth the following policy and procedures.

- **Recognition of a Client's Expectation of Privacy:** We believe the confidentiality and protection of client information is one of our fundamental responsibilities. And while information is critical to providing quality service, we recognize that one of our most important assets is our clients' trust. Thus, the safekeeping of client information is a priority.
- **Use, Collection, and Retention of Client Information:** RWG limits the use, collection, and retention of client information to what we believe is necessary or useful to conduct our business, provide quality service, and offer products, services, and other opportunities that may be of interest to our clients. Information collected may include, but is not limited to: name, address, telephone number, tax identification number, date of birth and employment status.
- **Maintenance of Accurate Information:** RWG recognizes that it must maintain accurate client records. Therefore, we have established

procedures to maintain the accuracy of client information and to keep such information current and complete. These procedures include responding to requests to correct inaccurate information in a timely manner.

- **Limiting Employee Access to Information:** Employee access to personally identifiable client information is limited to those with a business reason to know such information. Employees are educated on the importance of maintaining the confidentiality of client information. Because of the importance of these issues, employees are responsible for maintaining the confidentiality of client information and employees who violate this Privacy Policy will be subject to disciplinary measures.
- **Protection of Information via Established Security Procedures:** We recognize that a fundamental element of maintaining effective client privacy procedures is to provide reasonable protection against the unauthorized access to client information. We have established appropriate security standards and procedures to guard against any unauthorized access to client information.
- **Restrictions on the Disclosure of Client Information:** RWG does not share information about client accounts unless legally required.
- **Disclosure of Privacy Policy to Clients:** We want our clients to understand our commitment to privacy in our use of client information. As a result of our commitment, this Privacy Policy is made readily available to our clients. Clients who have questions about this Policy or have a question about the privacy of their client information should call 817-334-0351.

This Privacy Policy applies to individuals. This Privacy Policy is for general guidance and does not constitute a contract or create legal rights and does not modify or amend any agreements we have with our clients.